



Åpen forskning og forskningskultur

Cultivating better research cultures through exploration, transformation, & evaluation

Ny hverdag, ny fremtid

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# Research Culture: what it is and why should we care?

Dr Elizabeth Gadd @lizziegadd



### **Overview**

- Research culture
  - What is it?
  - What is going wrong?
  - How to fix it?

### Research Culture

 Research culture encompasses the behaviours, values, expectations, attitudes and norms of our research communities. It influences career paths and determines the way that research is conducted and communicated.

(Adapted from The Royal Society).





# Who are our research communities?

- We are
- Research-active & research-enabling staff

### **Research culture framework**

### How research is managed and undertaken

- · Effective research governance and management
- · Achieving the highest levels of research integrity
- · Actively promoting sustainability



### How people are supported

- · Employment and conditions
- · Recognition and assessment
- · Embedding professional and career development
- · Ensuring inclusive and healthy working environments





### How research ensures value

- Taking an open approach to research
- · Communicating research
- · Realising impact



### How individuals engage with others

- · Providing effective leadership and management
- · Empowering individuals
- · Building collegiality

Adapted from Shift Insight, Vitae and UKRN, Research Culture Initiatives in the UK (2023), commissioned by UK Research and Innovation, and used under CC BY 4.0.





### Your turn! Slido

How would you describe your IDEAL research & innovation culture?

### Your turn! Slido

 How would you describe your CURRENT research & innovation culture?

## Wellcome Trust Research Culture Survey

### Figure 1: Words that researchers would use to describe research culture

Survey, n = 2839 - research community, UK and international.



Investigating different perspectives on research culture What Researchers Think About the Culture They Work In | 7



# How research is managed & undertaken

### How research is managed and undertaken

- Effective research governance and management
- Achieving the highest levels of research integrity
- Actively promoting sustainability



- •One in five junior researchers and students have **felt pressured by their supervisor** to produce a particular result.
- •75% of researchers believe **creativity** is currently being stifled.

**Source: Wellcome Trust, 2020** 

# How people are supported

### How people are supported

- Employment and conditions
- Recognition and assessment
- Embedding professional and career development
- Ensuring inclusive and healthy working environments



- Only 29% feel secure pursuing a research career.
- •78% of researchers think that **high levels of competition** have created unkind and aggressive conditions.
- Only 14% of researchers agree that current metrics have had a positive impact on research culture

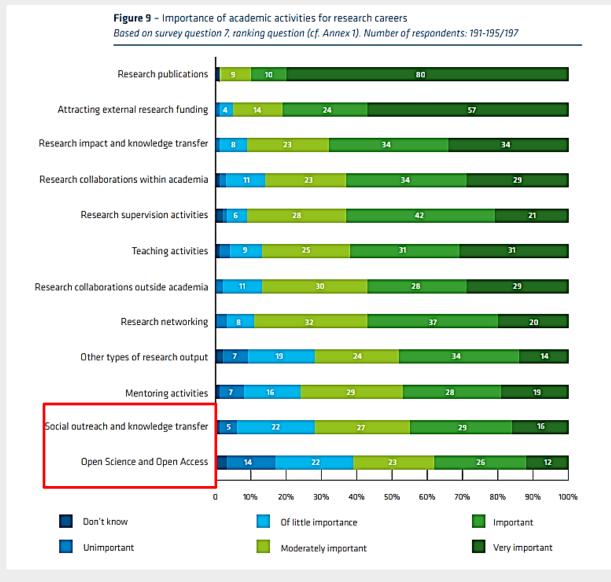
**Source: Wellcome Trust, 2020** 



### How research ensures value



### Importance of academic activities for careers



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### How individuals engage with others

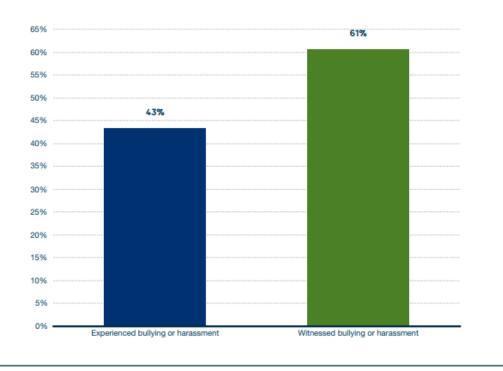


### How individuals engage with others

- Providing effective leadership and management
- Empowering individuals
- Building collegiality

### Figure 11: Researchers who have witnessed or experienced bullying or harassment

Survey, n = 4167-4169 - research community, UK and international.



**Source: Wellcome Trust, 2020** 



Research culture is defined by the worst behaviour you are prepared to tolerate.



## How to fix it



### Who needs to fix it?

Who we employ

• 'Middle managers'

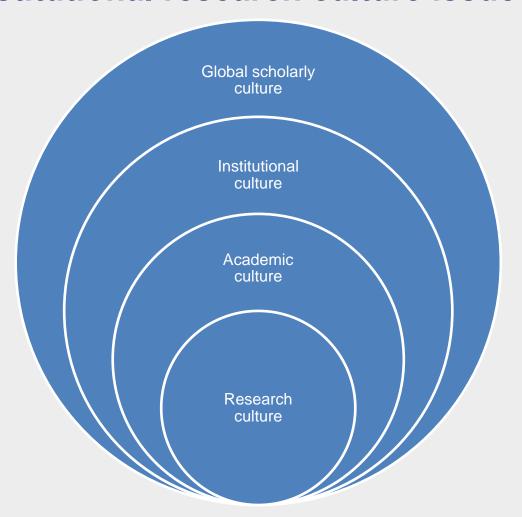
Who has the power

• Institutional research leaders

### Who needs to fix it?

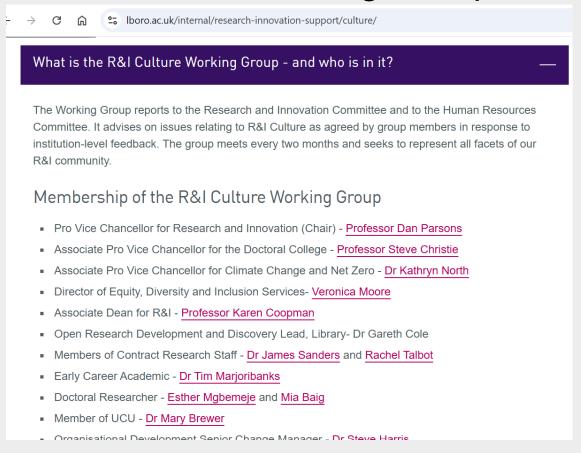
- Everyone. Particularly:
  - Institutional/ Research Leaders
  - Research Managers & Administrators
    - Researcher developers
    - Open research & Research Integrity staff
    - Dedicated research culture staff
  - Human Resources

# The biggest institutional research culture issues aren't institutional research culture issues



## **Building relationships & infrastructures**

Research Culture Working Groups



We yearn for frictionless, technological solutions. But people talking to people is still the way norms and standards change.

Atul Gawande



### How to fix research culture?

- Understand the issues
  - Wellcome Trust Café Culture Toolkit

# Wellcome Trust Café Culture workshops









•- wellcome.org/what-we-do/our-work/research-culture/hosting-your-cafe-culture-discussion









Home / What we do / Our work / Research culture / Hosting your Café Culture discussion



On this page

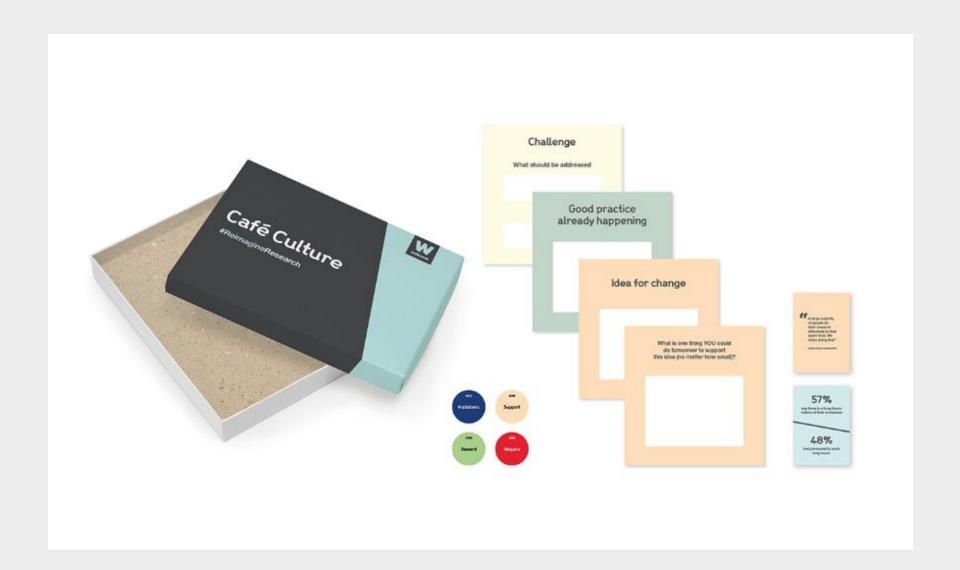
Download the Café Culture toolkit

How to set up your discussion

Make your voice heard

# Hosting your Café Culture discussion







# Café Culture Workshops for Research Enabling Professionals Sample Slide-pack



















#ReimagineResearch

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### How to fix research culture?

- Understand the issues
  - Wellcome Trust Café Culture Toolkit
- Plan to improve
  - What, who, by when?

### R&I Culture?

Our work to support a thriving R&I Culture is a long-standing commitment.

It is underpinned by a wide range of external concordats, agreements, and services to which we are signatory – alongside many internal supporting infrastructures.

Projects and initiatives to make life better for our research and innovation communities take many forms and are delivered both by Professional Services and Academic Schools.

### Here are some examples of each:





ners since 1909

# Creating capacity for research

"Provide dedicated research time with enough flexibility to enable all to succeed." (LU Ambition)

- More asymmetric RTE roles
- Shortening the teaching year
- Research sabbaticals



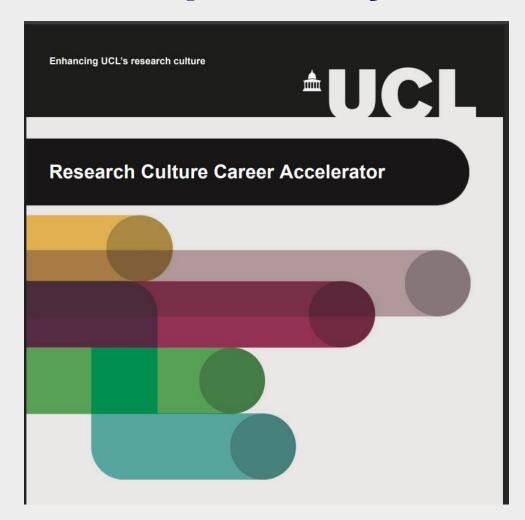
# **Building R&I communities**

"Provide time and space to develop authentic, collegial, inclusive and collaborative relationships." LU ambition



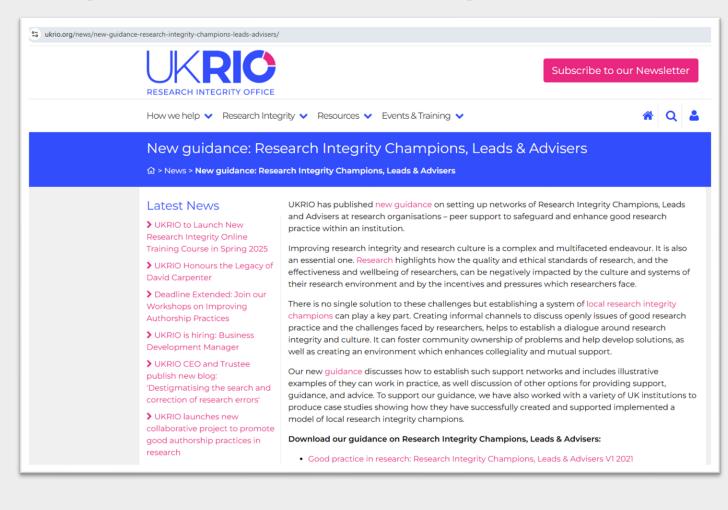
# **Broadening career pathways**

"Recognise and accommodate flexible career pathways for individuals." LU ambition



# Prioritising research integrity

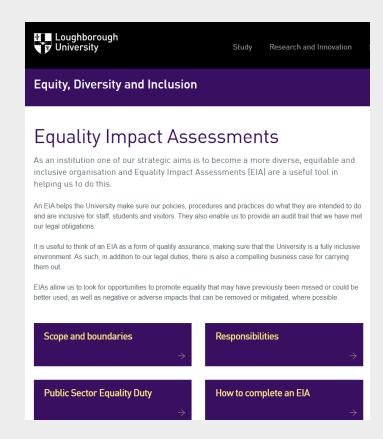
"Create safe spaces for honest discussions on difficult topics and foster a culture of learning from setbacks." LU ambition



# **Prizing EDI**

"Embed Equity, Diversity, and Inclusion (EDI) considerations into all research proposals and planning." LU ambition

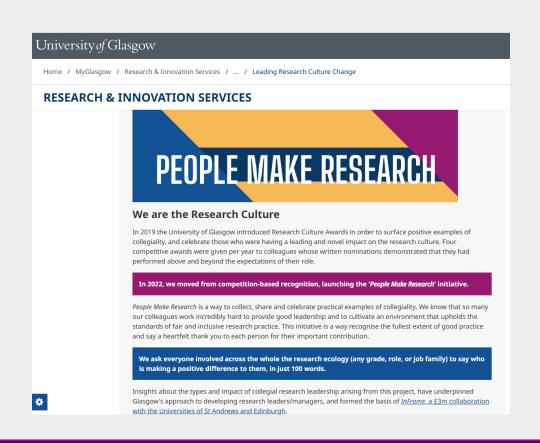
- EDI objectives in all annual appraisals
- Expectations around Equality Impact Assessments
- EDI Leads in all Schools
   & Professional Services



## Recognition & reward

"Improve parity of esteem between research-active and research-enabling colleagues."

- Diversifying promotion pathways
- Inclusive recognition schemes (UofG)



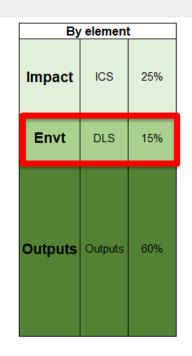
### How to fix research culture?

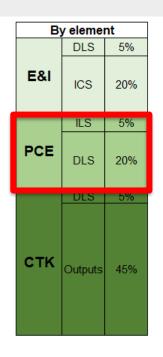
- Understand the issues
  - Wellcome Trust Café Culture Toolkit
- Plan to improve
  - What, who, by when?
- Monitor your progress
  - SCOPE Framework for responsible research assessment

# How to *motivate* HEIs to fix research culture?

**REF 2021** 

**REF 2029** 





\* Estimate based on 40+ individuals



### Elements

PCE= People Culture & Environment

CTK= Contribution to Knowledge & Understanding

E&I= Engagement & Impact

### Submission types

LS= Institution-level Statement

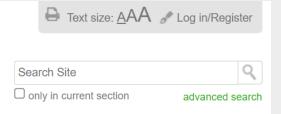
DLS= Disciplinary-level Statement

ICS= Impact Case Studies

Outputs







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Home / Policy / REF 2029 People, Culture and Environment Indicators project

REF 2029 People, Culture and Environment Indicators project

REF PCE Indicators project – Transparency Statement

Sustainable Careers for research empowerment (SECURE)

Coalition for Advancing Research Assessment (CoARA)

The Research Culture Framework

**Culture, Employment and** 

## REF 2029 People, Culture and Environment Indicators project

### Sector workshops, May-October 2024

**Expressions of interest are now closed.** If you would like to feed into the project in another way, Technopolis UK are running a survey that complements the workshops. You can find out more and access the survey via the Technopolis UK website.

### What are the workshops?

We are running 14 online workshops in May-October 2024 to co-develop indicators on research culture and environment. The workshops are divided into three phases, allowing for reflection and



# PCE Pilot Assessment Framework Enablers

The proposed assessment framework has been structured around five factors which **enable** positive research culture. These are:

- **Strategy**: Having robust, effective and meaningful plans to manage and enhance the vitality and sustainability of the research culture and environment.
- <u>Responsibility</u>: Upholding the highest standards of research integrity and ethics, enabling transparency and accountability in all aspects of research.
- <u>Connectivity</u>: Enabling inter-disciplinary and cross-disciplinary approaches both within and between institutions, fostering co-creation and engagement with research users and society, and recognizing and supporting open research practices.
- <u>Inclusivity</u>: Ensuring the research environment is accessible, inclusive, and collegial.
   Enabling equity for under-represented and minoritised groups.
- <u>Development</u>: Recognising and valuing the breadth of activities, practices and roles involved in research, building and sustaining relevant and accessible career pathways for all staff and research students, providing effective support and people-centred line management and supervision, supporting porosity and embedding professional and career development at all levels and across all roles.



### **Pilot submissions**

- At institutional and discipline level
- 1-4 indicators per 'enabler'
- HEIs to return qualitative & quantitative evidence
   + contextual information across all indicators
- 1,000 words per 'enabler'
- Up to ten sources per 'enabler'

## **Example: responsibility**

- Staff and research students pursue research, assessment and engagement activities responsibly, with integrity and to the highest standards
- Institution/unit demonstrates socially responsible leadership of research, research infrastructure and facilities.

Quantitative evidence		Qualitative evidence and contextual information	
•	Learning and training data, such as feedback on effectiveness.	Documented changes in research standards or behaviours.	
•	Quantitative data on, for example, the impact of participation in cross-sector initiatives linked to responsible research.	<ul> <li>Pre- and post-training assessments (e.g. on research integrity, data management, for staff conducting research misconduct investigations)</li> </ul>	
•	Carbon emissions data, or estimates of such data, including through cross-references to Standardised Carbon Emissions Framework (SCEF) reporting.	Conformity of measures with the Concordat to Support Research Integrity, including through cross-references to annual reporting.	
		Documented evidence that membership of relevant committees or involvement in other relevant academic citizenship activities is appropriately recognised (e.g. in workloads or promotion criteria).	
		Documented evidence of participation in relevant networks, events and initiatives leading to changes in policy and practice.	
		Documented evidence that the infrastructure, processes and mechanisms in place are working effectively (e.g. to safeguard and promote research integrity, to ensure research is conducted according to appropriate ethical, legal and professional frameworks, obligations and standards).	
		Unit-level mechanisms for supporting the reproducibility of research, where relevant to the disciplinary area.	



# What would you fix? Slido

 What one thing would improve the research culture in which you work?

# Thank you for listening

### Dr Elizabeth Gadd

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### The assessment criteria

Panels will be asked to evaluate each of the submissions assessing against the draft criteria outlined below. Criteria may be refined during or following the pilot, but our starting criteria are:

- <u>Vitality</u>: which will be understood as the extent to which the institution fosters a
  thriving and inclusive research culture for all staff and research students. This
  includes the presence of a clearly articulated strategy for empowering
  individuals to succeed and engage in the highest quality research outcomes.
- <u>Sustainability</u>: which will be understood as the extent to which the research environment ensures the health, diversity, wellbeing and wider contribution of the unit and the discipline(s), including investment in people and in infrastructure, effective and responsible use of resources, and the ability to adapt to evolving needs and challenges.
- Rigour: which will be understood as the extent to which the institution has robust, effective, and meaningful mechanisms and processes for supporting the highest quality research outcomes, and empowering all staff and research students. This includes the sharing of good practices and learning, embracing innovation, robust evaluation and honest reflection demonstrating a willingness to learn from experiences.

## The assessment ratings

<u>4 star</u>: Provides robust evidence of a culture and environment conducive to producing research of <u>world-leading quality</u> and enabling outstanding engagement and impact, in terms of their vitality, sustainability, and rigour. There is evidence that the policies and measures in place at the institution are having a positive impact on PCE within the institution, and furthermore collaboration and sharing of good practice and learning mean that that there is also influence outside the institution.

<u>3 star</u>: Provides robust evidence of a culture and environment conducive to producing research of <u>internationally excellent quality</u> and enabling outstanding engagement and impact, in terms of their vitality, sustainability, and rigour. There is evidence that the policies and measures in place at the institution are having a positive impact on PCE within the institution.

<u>2 star</u>: Provides robust evidence of a culture and environment conducive to producing research of <u>internationally recognised quality</u> and enabling outstanding engagement and impact, in terms of their vitality, sustainability, and rigour. There is evidence that the policies and measures in place to positively influence PCE at the institution are being adhered to.

<u>1 star</u>: Provides robust evidence of a culture and environment conducive to producing research of <u>nationally</u> <u>recognised quality</u> and enabling outstanding engagement and impact, in terms of their vitality, sustainability, and rigour. There is evidence that policies and measures are in place which are intended to have a positive impact on PCE at the institution.

<u>Unclassified</u>: Evidence provided is not robust, or evidence suggests a culture and environment conducive to producing research falling below nationally recognised standards.



### Research culture themes at LU

Theme	Definition
Capacity for R&I	Securing time, space and support for R&I activities.
Community & Collegiality	Fostering R&I communities that invest in each other's careers and wellbeing.
Professional & Career Development	Ensuring all R&I colleagues have opportunities to pursue the careers they want, both within and beyond academia.
Research Integrity & Openness	Working to the highest possible standards of ethical practices and transparency in research to ensure reliability, reproducibility, and public trust.
Role Models & Leadership	Demonstrating exemplary behaviour and guiding others in research through authentic, ethical and visionary leadership
Recognition & Reward	Assessing and acknowledging contributions to R&I fairly and ethically, while rewarding and incentivising positive behaviours
Working in Partnership	Collaborating with colleagues and stakeholders—within academia, industry, governments and the public—to enhance the impact and reach of our R&I activities.

